



Compliance Update: State Licensing Boards

July 20, 2021

Valerie Kamara

William (Bill) West

Division of Practitioner Data Bank, Bureau of Health Workforce (BHW)

Vision: Healthy Communities, Healthy People



Welcome

As a reminder:

- You can view or download a copy of this presentation at: https://www.npdb.hrsa.gov/community_n_education/webcasts/stateBoardWebcast.jsp
- During the presentation, you can submit questions in the Zoom Q&A pod.



Agenda

- ▶ **Purpose**
- ▶ **Certified Self Query Response**
- ▶ **Software Updates Affecting State Licensure Reports**
 - ▶ **Regulated Professions**
 - ▶ **NPDB Code List**
- ▶ **Compliance Review Process**
- ▶ **Q & A**



Purpose

Mission: *To improve health care quality, protect the public, and reduce health care fraud and abuse in the United States.*

The Health Resources Services Administration (HRSA) reviews the completeness and accuracy of reports that state licensing and certification agencies (state licensing boards) submit to the National Practitioner Data Bank (NPDB). We gather adverse action data related to the actions taken by state licensing boards and compare it with the actions submitted by the boards to the NPDB.



Software Updates Affecting State Licensure Reports



Certified Self-Query Response

- Self-Query responses are now digitally certified.
- Certified PDFs viewed with Adobe Acrobat Reader display a certification that the file has not been altered.
- Most responses are available immediately.
- Certified files can be added to other documents submitted with licensure applications.

NPDB encourages state licensing boards to directly query on practitioners as needed



Certified Self-Query Response

Home Tools NPDB Output Doc... x Sign In

Certified by Health Resources and Services Administration <help@npdb.hrsa.gov>, National Practitioner Data Bank, certificate issued by Entrust Class 3 Client CA - SHA256. Signature Panel

NATIONAL PRACTITIONER DATA BANK
NPDB
P.O. Box 10832
Chantilly, VA 20153-0832
<https://www.npdb.hrsa.gov>

7910000175425474
Process Date: 06/08/2021
Page: 1 of 1

To: TESTING, JOSHUA
123 MAIN ST

Certification by Health Resources and Services Administration <help@npdb.hrsa.gov> is invalid. Signature Panel

Certified by Health Resources and Services Administration <help@npdb.hrsa.gov>, National Practitioner Data Bank, certificate issued by Entrust Class 3 Client CA - SHA256. Signature Panel

Protected View: This file originated from a potentially unsafe location, and most features have been disabled to avoid potential security risks. This file is certified by a valid signer and has not been modified since it was certified. Enable All Features

The enclosed information is released by the National Practitioner Data Bank (NPDB) for restricted use under the provisions of Title IV of Public Law 99-660, the Health Care Quality Improvement Act of 1986, as amended; Section 1921 of the Social Security Act; and Section 1128E of the Social Security Act.

Title IV established the NPDB as an information clearinghouse to collect and release certain information related to malpractice payment history

- Open the file using the latest free version of **Adobe Reader**.
- Adobe will show the certification information and display an error message if any content has been altered.
- Printed copies of Self-Query responses **will not** display this certification.



Certified Self-Query Response

Benefits:

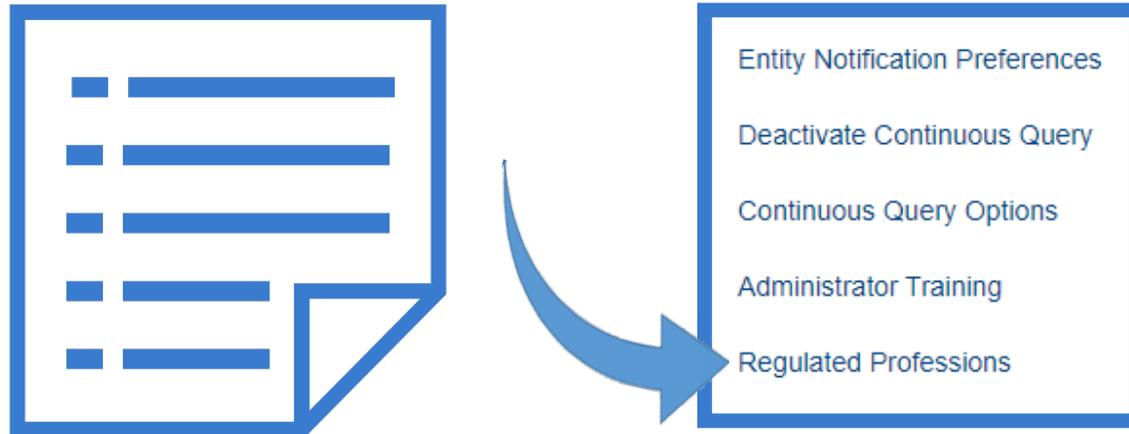
- Receive responses in minutes
- Eliminates excess paper
- Maintains authenticity

The NPDB encourages all state licensing boards to accept digitally certified Self-Query responses for faster, more secure, and eco-friendly operations.

Regulated Professions

Changes to Your Professions List

- Before: a pick list of all NPDB professions
- Now: a pick list of **your agencies'** professions
- Administrators review and update any time



Profession Name	Description
Nurse - Advanced, Registered, Practical, or Vocational	
Registered Nurse	+ Description (optional)
Nurse Anesthetist	+ Description (optional)
Nurse Midwife	+ Description (optional)
Nurse Practitioner	+ Description (optional)
Licensed Practical or Vocational Nurse	+ Description (optional)
Clinical Nurse Specialist	+ Description (optional)
Nurse Aide, Home Health Aide And Other Aide	
Nurse Aide/Nursing Assistant	+ Description (optional)

Regulated Professions

Entity: SAMPLE STATE BOARD (SAMPLE CITY, SAMPLE STATE) | User: SAMPLE USER NAME

[Sign Out](#)

REGULATED PROFESSIONS

NATIONAL PRACTITIONER DATA BANK
NPDB

1. Professions

When a user enters the practitioner's license information on a state licensure report, they must select one of your organization's regulated professions for the field of licensure. Review and update your organization's regulated professions, then Continue.

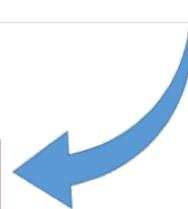
If you issue different licenses or certifications for a single profession, you can add a description, such as "intern" or "applicant." Optional descriptions are not allowed for physicians or dentists because you select a specialty for those professions as you submit reports.

Profession Name	Description	
Nurse - Advanced, Registered, Practical, or Vocational		
Registered Nurse	<input type="text" value="+ Description (optional)"/>	✘
Nurse Practitioner	<input type="text" value="+ Description (optional)"/>	✘
Licensed Practical or Vocational Nurse	<input type="text" value="+ Description (optional)"/>	✘

[+ Add a profession](#)

This list is correct and complete.

Click to add a profession



Continue



HRSA
Health Resources & Services Administration

Regulated Professions

Entity: SAMPLE STATE BOARD (HARRISBURG, PA) | User: SAMPLE USER NAME Sign Out

REGULATED PROFESSIONS

1. Professions

Review and update the listed professions for your board. If you issue different licenses or specialties, you may need to add or remove professions because you select a specialty.

Profession Name

- Nurse - Advanced, Registered Nurse
- Registered Nurse

[+ Add a profession](#)

This list is correct and complete.

Add a Profession ✕

Select the profession your board regulates

Search

Physician

- Physician (MD)

Can't find a profession?

Continue

NATIONAL PRACTITIONER DATA BANK
NPDB

owed for physicians or dentists

If the profession you would like to add is not listed, then click here



Regulated Professions

Entity: SAMPLE STATE BOARD (HARRISBURG, PA) | User: SAMPLE USER NAME Sign Out

NATIONAL PRACTITIONER DATA BANK
NPDB

REGULATED PROFESSIONS

1. Professions

Review and update the listed professions your board
If you issue different licenses or certifications for a si
because you select a specialty for those professions

Name and category of the profession ✕

Profession Name

What category best describes the profession?

[Add to list](#)

Profession Name	Description	
Nurse - Advanced, Registered, Practical, or Vocational		
Registered Nurse	<input type="text" value="+ Description (optional)"/>	✕
Nurse Practitioner	<input type="text" value="+ Description (optional)"/>	✕
Licensed Practical or Vocational Nurse	<input type="text" value="+ Description (optional)"/>	✕
Clinical Nurse Specialist	<input type="text" value="+ Description (optional)"/>	✕

**Enter the
profession name
and category of the
profession and add
to list**



Regulated Professions

Entity: SAMPLE STATE BOARD (HARRISBURG, PA) | User: SAMPLE USER NAME

[Sign Out](#)

REGULATED PROFESSIONS

NATIONAL PRACTITIONER DATA BANK
NPDB

1. Professions

Review and update the listed professions your board regulates.

If you issue different licenses or certifications for a single profession, you can add a description, such as "intern" or "applicant." Optional descriptions are not allowed for physicians or dentists because you select a specialty for those professions as you submit reports.

Profession Name

Description

Nurse - Advanced, Registered, Practical, or Vocational

Registered Nurse

+ Description (optional)

✕

Additional Profession	Description	
Other Technologist/Technician	Sleep Technician	✕

Total added: 1

+ Add a profession

This list is correct and complete.



Regulated Professions

NPDB

REGULATED PROFESSIONS CONFIRMATION

Success! Thank you for submitting your regulated professions and report forwarding selection.

Your current regulated professions are listed in the table below. When entering the practitioner's license information on a state licensure report, the user may only select a regulated profession as the field of licensure. The NPDB must approve professions with "other" in the profession name. We review "other" professions for approval within one business day. Select Edit to update your list.

 = Profession Requires Review

Nurse - Advanced, Registered, Practical, or Vocational

Registered Nurse

Nurse Practitioner

Licensed Practical or Vocational Nurse

Clinical Nurse Specialist

Advanced Practice Registered Nurse

Dietitian/Nutritionist

Dietitian/Nutritionist

Technologist/Technician

 Other Technologist/Technician

SLEEP TECHNICIAN

Total: 7

NPDB reviews *new*
"Other" professions and
confirms by return email



Regulated Professions - Clean Up Effort

Other Professions in common use

Code	Code Description	Profession Name
142	Other Nurse Occupation	APRN Advanced Practice Registered Nurse
211	Other Dietitian/Nutritionist Occupation	Dietician/Nutritionist
605	Other Chiropractic Occupation	Chiropractic Technician
699	Other Health Care Profession	Anesthesiologist Assistant Genetic Counselor Radiologic Assistant



Regulated Professions - Clean Up Effort

FOL Examples

Table 161 – Nurse – Advanced, Registered, Practical, or Vocational

Code	Description
100	Registered Nurse
110	Nurse Anesthetist
120	Nurse Midwife
130	Nurse Practitioner
140	Licensed Practical or Vocational Nurse
141	Clinical Nurse Specialist
142	Other Nurse Occupation - Not Classified, Specify, _____

Table 166 – Dietitian/Nutritionist

Code	Description
200	Dietitian
210	Nutritionist
211	Other Dietitian/Nutritionist Occupation - Not Classified, Specify, _____

Regulated Professions - Clean Up Effort

Phase 1 – Boards will notice a pared-down professions list containing only the professions they regulate. Administrators received a notification describing the regulated professions changes at the end of June.

Phase 2 – Beginning this October:

- Boards with duplicate professions or simple corrections that can be made automatically will receive a secure message from NPDB
- The Customer Service Center will begin calling boards that need one-to-one assistance to clean up their professions list



NPDB Code List

The NPDB Code List has been updated (Version 2.08 effective June 24, 2021)

Refer to the full [Code List](#) for all of the updates

- Updated the description for Adverse Action Classification Code 1179, see Tables 16 and 17
- Updated the descriptions for AAR Basis for Action Codes H2, H3, H4, H5, H6, see Tables 44, 55, 64, 73, 82, 95, 103, 111
- 1179: Limitation or Restriction on Ability to Prescribe, Dispense, or Administer Medication or Sedation, Specify, _____
- Table 55 - Improper Prescribing, Dispensing, Administering Medication/Drug Violation

Code	Description
H6	Inappropriate Acquisition or Diversion of Controlled Substance
H5	Error in Prescribing, Dispensing or Administering Medication or Sedation
H1	Narcotics Violation or Other Violation of Drug Statutes
H4	Inappropriate or Unauthorized Administration of Medication or Sedation
H3	Inappropriate or Unauthorized Dispensing of Medication
H2	Inappropriate or Unauthorized Prescribing of Medication



Compliance Review Process



Compliance Review

What is a Compliance Review?

- The NPDB looks at the adverse actions administered by State Licensing Boards in the U.S. and U.S. Territories for the 24-month period preceding each board's NPDB registration renewal date.
- Board data is compared with reports submitted to the NPDB and we identify potential reporting gaps.



Core Professions

Most Queried Professions	Newly Selected Professions
Physicians	Pharmacists
Physician Assistants	Psychologists
Advanced Practice Registered Nurses	Nurse Aides
Registered Nurses	Nursing Assistants
Dentists	Occupational Therapists
Social Workers	Psychiatric Technicians

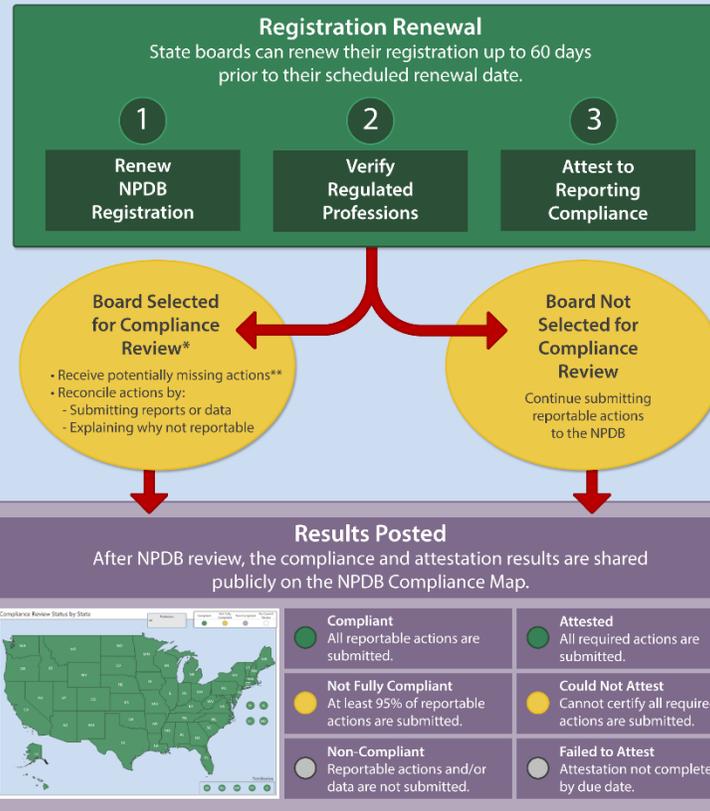


Compliance Review

State Board Compliance Overview

Every 2 years all state licensing boards renew their registration with the NPDB. A subset of these boards are selected to participate in the NPDB compliance review process to assess the completeness and accuracy of reportable actions submitted.

www.npdb.hrsa.gov



* Compliance Review Methodology: <https://www.npdb.hrsa.gov/resources/npdbstats/npdbMap.jsp>
** An NPDB compliance officer may send a data request on actions taken



Resources

Help When You Need It

- [Compliance Update: State Licensing Boards Webcast page](#)

More information about the Digitally Certified Self-Query

- [Benefits of a digitally certified Self-Query response](#)
- [What is a digitally certified Self-Query response?](#)

More information about Compliance Reviews and Regulated Professions

- [About Compliance Reviews](#)
- [Compliance Status Definitions](#)
- [State Licensing and Certification Agencies Landing Page](#)
- [Compliance Overview Infographic](#)



Resources

Help When You Need It

- Website: www.npdb.hrsa.gov
- [Infographics](#)
- [NPDB Guidebook](#)
- [Recorded webinars](#)
- [Regulations](#)
- [Statistical data & Research tools](#)
- [Codes for reporting and querying](#)
- [NPDB Insights](#)



NPDB Customer Service Center
800.767.6732
help@npdb.hrsa.gov

Tell Us What You Think

Take a Survey About This Webcast

- Available online at:
<https://www.surveymonkey.com/r/NPDBCompliance>
- The survey will close on Friday, July 23, 2021



Questions



Connect with HRSA

Learn more: [HRSA.gov](https://www.hrsa.gov)

Sign up for HRSA eNews: 

Follow us:     

