



Reporting to the Data Banks

Information and Guidance for State Licensing Authorities

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Overview

- State licensing authorities currently report adverse actions to the National Practitioner Data Bank (NPDB) and/or the Healthcare Integrity and Protection Data Bank (HIPDB).
- Implementation of Section 1921 of the *Social Security Act* on March 1, 2010 expanded the types of State licensure actions reportable to the NPDB.
 - Reporting under Section 1921 does not require additional resources or work.
 - These actions are currently reported to HIPDB and also placed into NPDB under Section 1921.
- State licensing authorities may query to obtain the expanded NPDB information under Section 1921.



Why is reporting so important?

Reporting certain adverse information is required by law. It also fosters quality in health care and assists the health care community in making sound employment, credentialing, and licensing decisions.

Why is reporting so important?

1) It Protects the Public

A failure to report can expose the public to practitioners that are unfit to provide patient care.

2) It is a Legal Mandate

Federal laws require State Licensing Boards and Authorities to report specific types of adverse actions to each Data Bank.

3) Queriers Rely on the Information

Querying the Data Banks is one of many important elements in the comprehensive and continuous review of practitioners' professional credentials; important health care decisions by hospitals, other health care providers, government health care programs, and others are influenced by the information available in the Data Banks.



State's Responsibility to Report

It is the State's responsibility to report actions. The State has the option to use an agent, a composite board or their own internal structure to report on the State's behalf; however the transfer of the *function* of reporting does not transfer the *responsibility* of reporting.



Background on Section 1921 of the Social Security Act



Purpose of Section 1921

The intent is to protect beneficiaries participating in the Social Security Act's health care programs from unfit health care practitioners and improve the anti-fraud provisions of these programs.



Access to Section 1921

- Entities that are allowed to query the NPDB will have access to *all* Section 1921 reports.
- Entities given access to the NPDB through Section 1921 may query **ONLY** Section 1921 information (e.g., Federal and State health care programs, State agencies that license health care entities, Medicare Fraud and Control Units and other law enforcement agencies, quality improvement organizations).



Information Available under Section 1921

- Adverse State licensure actions taken against all health care practitioners, including physicians and dentists, and entities.
- Negative actions or findings by State licensing boards.
- Negative actions or findings by non-QIO peer review organizations and private accreditation organizations.



Reporting Requirements for the NPDB and HIPDB

Current NPDB Requirements for State Licensing Agencies

- Established through Title IV of Public Law 99-660, the Health Care Quality Improvement Act of 1986 (HCQIA), as amended. Final regulations codified at 45 CFR part 60.
- State licensing boards must report certain adverse actions taken against physicians and dentists (based on competence/conduct).
- State practitioner licensing boards may query NPDB information.



Understanding the Reporting Changes for State Licensing Boards under Section 1921

The implementation of Section 1921, effective March 1, 2010, expands the current NPDB adverse licensure action reporting requirements for State licensing boards in two ways:

- 1) State licensing authorities must report adverse actions taken against all health care practitioners, not just physicians and dentists, as well as those actions taken against health care entities.
- 2) State licensing authorities must report all adverse licensure actions (not just those based on professional competence and conduct).

NPDB Reporting Requirements (with Section 1921)

- State licensing boards or authorities must report adverse actions taken against physicians, dentists, other health care practitioners, and health care entities **within 30** days of when the action was taken.
- Boards also must report any revisions to that action, such as when a license is reinstated.
- Boards that fail to meet reporting requirements can have their name published (HIPDB) and responsibility to report removed from them by the Secretary of the U.S. Department of Health and Humans Services (NPDB).

What is Reportable to the NPDB? (with Section 1921)

State licensure actions taken as a result of **formal proceedings** are reportable to the NPDB. **These actions include:**

- Any adverse action, including revocation or suspension of a license, reprimand, censure, or probation.
- Any dismissal or closure of the proceedings by reason of the practitioner or entity surrendering the license or leaving the State or jurisdiction.
- Any other loss of the license, whether by operation of law, voluntary surrender (excluding those due to non-payment of licensure renewal fees, retirement, or change to inactive status), or otherwise.
- Any negative action or finding that is publicly available information.



Section 1921 Definitions

Formal proceeding

- A proceeding held before a State licensing or certification authority, peer review organization, or private accreditation entity that maintains defined rules, policies, or procedures for such a proceeding.

Section 1921 Definitions

Negative action or finding

- Excludes administrative fines or citations, and corrective action plans unless they are:
 - 1) connected to health care delivery
 - or
 - 2) taken with another reportable action.
- Examples: limitations on the scope of practice, injunctions, forfeitures.

Section 1921 Definitions

Voluntary surrender of license

- Made after a notification of investigation or a formal official request for surrender.
- In exchange to cease an investigation or to not conduct an investigation.
- In lieu of a disciplinary action.



HIPDB

- Established under Section 1128E of the Social Security Act as added by Section 221(a) of the Health Insurance Portability and Accountability Act of 1996 (HIPAA). Final regulations codified at 45 CFR part 61.
- Federal and State agencies may query HIPDB.



HIPDB Reporting Requirements for State Licensure Agencies

- State agencies must report **final** adverse licensure or certification actions taken against health care practitioners, providers, or suppliers, generally within 30 days of the action.
- Agencies must also report any revisions to that action, such as when a license is reinstated.
- If the Secretary of HHS discovers that a Government agency has substantially failed to report the required information, the Secretary will publish the agency's name in a public report.



What is Reportable to the HIPDB?

Federal or State licensing and certification actions that are reportable include:

- Formal or official actions, such as the revocation, suspension, or probation of a license, or a reprimand or censure.
- Any other loss of, or the loss of the right to apply for or renew, a license, certification agreement, or contract for participation in government health care program, whether by operation of law, voluntary surrender, or non-renewal (excluding non-renewals due to nonpayment of fees, retirement, or change to inactive status), or otherwise.
- Any other negative action or finding that is publicly available information.

HIPDB Definitions

Negative action or finding

- Excludes administrative fines or citations, and corrective action plans unless they are:
 - 1) connected to billing, provision or delivery of health care
 - and
 - 2) taken with another reportable action.
- Examples: limitations on the scope of practice, injunctions, forfeitures.



HIPDB Definitions

Voluntary surrender of license

- Made after a notification of investigation or a formal official request for surrender.
- In exchange to cease an investigation or to not conduct an investigation.
- In lieu of a disciplinary action.

Key Differences Between NPDB with Section 1921 and HIPDB State Licensure Reporting Requirements

Who Reports?

- **NPDB:** State agencies that license health care practitioners and entities.
 - *Health care practitioner;* an individual other than a physician or dentist, who is licensed or otherwise authorized by a State to provide health care services.
- **HIPDB:** State Agencies that license or certify health care practitioners, providers or suppliers.



Key Differences Between NPDB with Section 1921 and HIPDB State Licensure Reporting Requirements

What is Reported?

- **NPDB:**

- Adverse actions do not have to be final.
- Publicly available negative actions or findings include reporting of administrative fines or citations related to health care delivery.

- **HIPDB:**

- Final adverse actions only.
- Publicly available negative actions or findings include reporting of health care-related administrative fines or citations only if taken with another reportable action.



What is Reportable to the NPDB with Section 1921?

Reportable Actions:

- Revocation, suspension, limitation, restriction, censure, reprimand, probation
- Voluntary surrender, limitation, restriction of license
- Denial of initial application or renewal
- Withdrawal of application
- Civil money penalties (a monetary penalty that is a formal disciplinary action imposed by the board)
- Modifications to previously reported actions, including reinstatements
- Summary or emergency suspension
- Publicly available administrative fines or citations related to health care delivery



What is Reportable to the NPDB with Section 1921?

Non-Reportable Actions:

- Monitoring, Continuing Education, completion of other obligations (unless it constitutes a restriction, a reprimand, etc...)
- Stayed actions
- Voluntary relinquishment of license for personal reasons (e.g., retirement or change to inactive status)



What is Reportable to the HIPDB?

Reportable Actions:

- Revocation, suspension, limitation, restriction, censure, reprimand, probation
- Voluntary surrender, limitation, restriction of license
- Denial of initial application or renewal
- Withdrawal of application
- Civil money penalties (a monetary penalty that is a formal disciplinary action imposed by the board)
- Modifications to previously reported actions, including reinstatements



What is Reportable to the HIPDB?

Non-Reportable Actions:

- Monitoring, Continuing Education, or completion of other obligations (unless it constitutes a restriction, reprimand, etc...)
- Stayed actions
- Health care-related administrative fines or citations taken without another reportable action.
- Voluntary relinquishment of license for personal reasons (e.g., retirement)
- Summary or emergency suspension, limitation or restriction (not a final action)



Do I have to Report to Both Data Banks?

Agencies that are required to report an action to both Data Banks only need to submit a single report.

Based on the information submitted, the report will be stored in the appropriate Data Banks.



Interpreting the Data Banks Reporting Requirements Based on your State Regulations



Interpreting the Data Banks Reporting Requirements

- Each State should interpret NPDB and HIPDB reporting requirements based on their own State laws, regulations and rules.
- State and Data Bank terminology may vary; you must determine if the Data Banks' reporting requirements match your board's action.
- The Data Banks are available to assist you in understanding the reporting requirements.



Interpreting the Data Banks Reporting Requirements

A negative action or finding is publicly available information. It excludes administrative fines or citations, and corrective action plans, unless they are:

- Connected to the delivery of health care services, or;
- Taken in conjunction with other licensure or certification actions such as revocation, suspension, censure, reprimand, probation, or surrender.



Interpreting the Data Banks Reporting Requirements

Example “Negative Action or Finding”

- A reportable administrative fine is one that is considered administrative or technical in nature and is reportable if it is related to health care delivery.
- Example: a board may impose an administrative fine for violating any section of the State code.
 - One State considers the failure of a practitioner to obtain the required continuing education units (CEU) as a negative action or finding related to the delivery of health care and the board imposes a fine. This is reportable.
 - Another State may consider the imposition of a fine for failing to meet mandatory CEUs as administrative, **not** relating to the delivery of health care. This is not reportable.

Interpreting the Data Banks Reporting Requirements

Revisions to Actions

- Examples:
 - Initial reported action was a 2 year suspension. Upon its completion a second board hearing lifted the suspension and reinstated the license. The action of the second board hearing must be reported as a Revision to Action.
 - Initial report was a Probation with Conditions. The practitioner failed to comply. The board then Suspended the license. The Suspension must be reported as a Revision to the initial reported Probation with Conditions.
 - Remember to report **all** Revisions to Actions to provide accurate information to future queriers.

Interpreting the Data Banks Reporting Requirements

Stayed Actions

- Examples:
 - Initial action was a 2 year Suspension, stayed, and 4 year Probation. The suspension is not reported because it is stayed; however, the Probation is reportable.
 - Initial action was a 2 year Suspension and 4 year Probation, stayed. Neither action is reportable because both the Suspension and Probation are stayed.

Interpreting the Data Banks Reporting Requirements

Letter of Concern

- Examples:
 - Some States view a Letter of Concern a publicly available negative action or finding and therefore it is reportable.
 - States that do not consider a Letter of Concern a publicly available negative action or finding are not required to report.



How to Submit a Report



How to Submit a Report

- To submit a report you need to register with the Data Banks.
- Go to www.npdb-hipdb.hrsa.gov/register and complete the Entity Registration form.
- Register as a State Licensing Agency; not as a Government Administrative Agency.



How to Submit a Report

- You can manually submit a report via the Data Banks web site, the IQRS.
- You can automate your report submissions by using the Data Banks electronic interface, the QRXS.
- The following slides will demonstrate how to submit a report via the IQRS.



OPTIONS

National Practitioner Data Bank
Healthcare Integrity and Protection Data Bank

Entity: TEST ENTITY (FAIRFAX, VA)

Query Options

Query

View Query Response

View Historical Queries

Report Change Notices

Report Options

Report

View Report Output

View Historical Reports

Subject Database Management

Maintain Subject Database

Maintenance

View Data Bank Correspondence

Update User Account

View Billing History

Help ?

Log Out

REPORT TYPE

National Practitioner Data Bank
Healthcare Integrity and Protection Data Bank

Entity: TEST ENTITY (FAIRFAX, VA)

Select the type of report you are submitting to the Data Bank(s). Please read your options carefully and click the **Help** button for additional guidance about your selection.

Help ?

Enter an Initial Report

Modify a Draft Report

Select the type of report

The report types listed below are most commonly used for previously submitted reports. The reporting entity must enter the Data Bank Control Number (DCN) that was assigned to the previously submitted report in the space provided below. **NOTE:** The DCN is required to proceed if you select a report type below.

DCN:

Clear

Correct or Modify a Report

(Correct an error or omission in a previously submitted Initial, Correction, or Revision to Action Report.)

Enter a Revision to Action

(Submit an action that modifies a previously reported adverse action, e.g., reinstatement, restrictions lifted, previously stayed action imposed, etc.)

Void a Report

(Retract a previously submitted report in its entirety.)

Enter a Notice of Appeal

(Notify that a subject has appealed a previously reported adverse action.)

Return to Options

Log Out

Select State
Licensure

SELECT ACTION

National Practitioner Data Bank
Healthcare Integrity and Protection Data Bank

Entity: TEST ENTITY (FAIRF...A)

State Licensure

(Includes Nurse Multi-State Licensure Privilege Actions.)

Health Plan Action

(Includes Contract Terminations and Other Adjudicated Actions Taken by a Health Plan. Excludes Clinical Privileges Actions.)

Exclusion or Debarment

Criminal Conviction

(Guilty Plea or Trial.)

Nolo Contendere Plea

(No Contest Plea.)

Deferred Conviction

(Pre-Trial Diversions.)

Injunction

Civil Judgment

(Excludes Medical Malpractice Claims.)

Government Administrative

(Includes Personnel Actions; Civil Money Penalties; Contract Terminations; and Adverse Actions Taken by a Government Health Care Program or Survey and Certification Agency.)

Return to Options

Log Out

SUBJECT TYPE

National Practitioner Data Bank
Healthcare Integrity and Protection Data Bank

Entity: TEST ENTITY (FAIRFAX, VA)

For this report, I would like to report on an:

Help ?

Individual Subject

Select a Subject

Use a Blank Report Form

Organization Subject

Select a Subject

Use a Blank Report Form

For this example we will use a blank form

Return to Options

Log Out



OCCUPATION/FIELD OF LICENSURE

National Practitioner Data Bank
Healthcare Integrity and Protection Data Bank

Entity: TEST ENTITY (FAIRFAX, VA)

Please select the code that best describes the subject's occupational activities or licensure category associated with the adverse action being reported.

- Physician
- Nurse - Advanced, Registered, Vocational or Practical
- Nurse Aide, Home Health Aide And Other Aide
- Dental Service Practitioner
- Chiropractor
- Counselor
- Dietician/Nutritionist
- Emergency Medical Technician (EMT)
- Eye and Vision Service Practitioner
- Pharmacy Service Practitioner
- Physician Assistant
- Podiatric Service Practitioner
- Psychologist/Psychological Assistant
- Rehabilitative, Respiratory and Restorative Service Practitioner
- Social Worker
- Speech, Language and Hearing Service Practitioner
- Technologist/Technician
- Other Health Care Practitioner
- Health Care Facility Administrator
- Other Occupation

Choose the field of
licensure



OCCUPATION/FIELD OF LICENSURE

National Practitioner Data Bank
Healthcare Integrity and Protection Data Bank

Entity: TEST ENTITY (FAIRFAX, VA)

Please select the code that best describes the subject's occupational activities or licensure category associated with the adverse action being reported.

- Physician**
 - Physician (MD) (010)
 - Physician Intern/Resident (MD) (015)
 - Osteopathic Physician (DO) (020)
 - Osteopathic Physician Intern/Resident (DO) (025)
- Nurse - Advanced, Registered, Vocational or Practical**
- Nurse Aide, Home Health Aide And Other Aide**
- Dental Service Practitioner**
- Chiropractor**
- Counselor**
- Dietician/Nutritionist**
- Emergency Medical Technician (EMT)**
- Eye and Vision Service Practitioner**
- Pharmacy Service Practitioner**
- Physician Assistant**
- Podiatric Service Practitioner**
- Psychologist/Psychological Assistant**
- Rehabilitative, Respiratory and Restorative Service Practitioner**
- Social Worker**
- Speech, Language and Hearing Service Practitioner**



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- Emergency Medical Technician (EMT)**
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- Pharmacy Service Practitioner**
- Physician Assistant**
- Podiatric Service Practitioner**
- Psychologist/Psychological Assistant**
- Rehabilitative, Respiratory and Restorative Service Practitioner**
- Social Worker**
- Speech, Language and Hearing Service Practitioner**
- Technologist/Technician**
- Other Health Care Practitioner**
 - Acupuncturist (600)
 - Athletic Trainer (601)
 - Homeopath (615)
 - Medical Assistant (618)
 - Midwife, Lay (Non-Nurse) (624)
 - Naturopath (627)
 - Orthotics/Prosthetics Fitter (639)
 - Perfusionist (647)
 - Psychiatric Technician (170)
 - Other Health Care Practitioner - Not Classified, Specify (699)
- Health Care Facility Administrator**
- Other Occupation**



- Counselor**
- Dietician/Nutritionist**
- Emergency Medical Technician (EMT)**
- Eye and Vision Service Practitioner**
- Pharmacy Service Practitioner**
- Physician Assistant**
- Podiatric Service Practitioner**
- Psychologist/Psychological Assistant**
- Rehabilitative, Respiratory and Restorative Service Practitioner**
- Social Worker**
- Speech, Language and Hearing Service Practitioner**
- Technologist/Technician**
- Other Health Care Practitioner**
- Health Care Facility Administrator**
- Other Occupation**
 - Accountant (850)
 - Bookkeeper (853)
 - Business Manager (822)
 - Business Owner (830)
 - Corporate Officer (820)
 - Insurance Agent (810)
 - Insurance Broker (812)
 - Researcher, Clinical (800)
 - Salesperson (840)
 - Other Occupation - Not Classified, Specify (899)

ADVERSE ACTION CLASSIFICATION CODE

National Practitioner Data Bank
Healthcare Integrity and Protection Data Bank

Entity: TEST ENTITY (FAIRFAX, VA)

Select up to five adverse action classification codes and click **Continue**.

Help ?

Note: Any existing selections can be changed.

- 1110 - Revocation of License
- 1125 - Probation of License
- 1135 - Suspension of License
- 1138 - Summary or Emergency Limitation or Restriction on License (NPDB Only)
- 1139 - Summary or Emergency Suspension of License (NPDB Only)
- 1140 - Reprimand or Censure
- 1145 - Voluntary Surrender of License
- 1146 - Voluntary Limitation or Restriction on License
- 1147 - Limitation or Restriction on License
- 1148 - Denial of License Renewal
- 1149 - Denial of Initial License (HIPDB Only)
- 1173 - Publicly Available Fine/Monetary Penalty
- 1189 - Publicly Available Negative Action or Finding (HIPDB Only), Specify

Choose the adverse
action classification



ADVERSE ACTION CLASSIFICATION CODE

National Practitioner Data Bank
Healthcare Integrity and Protection Data Bank

Entity: TEST ENTITY (FAIRFAX, VA)

Select up to five adverse action classification codes and click **Continue**.

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Help ?

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REPORT INPUT

National Practitioner Data Bank
Healthcare Integrity and Protection Data Bank

- Subject Info
- Addresses
- SSN
- ITIN
- FEIN
- NPI
- DEA Number
- UPIN
- Prof Schools
- Occupation/Lic
- Affiliation
- Adverse Action
- Basis for Action
- Certification
- Validate/Submit

STATE LICENSURE

Individual Subject: Initial Report

Fill out the report

Please provide as much of the following information as possible. Failure to provide sufficient information to permit identification of a single subject will result in the report being rejected, necessitating resubmission.

Do not print this page. A printable copy of your report submission will be provided after submission.

OMB # 0915-0239 expiration date 10/31/10
OMB # 0915-0126 expiration date 07/31/10

Public Burden Statement: An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid OMB control number. The OMB control numbers for this project are 0915-0239 (HIPDB) and 0915-0126 (NPDB). Public reporting burden for this collection of information is estimated to average 45 minutes to complete the forms, including the time for reviewing instructions, searching existing data sources, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to HRSA Reports Clearance Officer, 5600 Fishers Lane, Room 14-22, Rockville, Maryland, 20857.

SUBJECT INFORMATION

Help ?

Subject Name:

Last Name	First Name	Middle Name	Suffix (e.g., Jr, III)
<input type="text" value="Jones"/>	<input type="text" value="Fred"/>	<input type="text"/>	<input type="text"/>



REPORT INPUT

National Practitioner Data Bank
Healthcare Integrity and Protection Data Bank

- Subject Info
- Addresses
- SSN
- ITIN
- FEIN
- NPI
- DEA Number
- UPIN
- Prof Schools
- Occupation/Lic
- Affiliation
- Adverse Action
- Basis for Action
- Certification
- Validate/Submit

SOCIAL SECURITY NUMBERS (SSN) (FORMAT NNNNNNNN)

1. 2.

3. 4.

Provide as much information as possible

INDIVIDUAL TAXPAYER IDENTIFICATION NUMBERS (ITIN) (FORMAT 9NNNNNNNN)

1. 2.

3. 4.

FEDERAL EMPLOYER IDENTIFICATION NUMBERS (FEIN)

1. 2.

3. 4.

NATIONAL PROVIDER IDENTIFIERS (NPI)

1. 2.

3. 4.

DRUG ENFORCEMENT ADMINISTRATION (DEA) NUMBERS

1. 2.

3. 4.



REPORT INPUT

National Practitioner Data Bank
Healthcare Integrity and Protection Data Bank

- Subject Info
- Addresses
- SSN
- ITIN
- FEIN
- NPI
- DEA Number
- UPIN
- Prof Schools
- Occupation/Lic
- Affiliation
- Adverse Action
- Basis for Action
- Certification
- Validate/Submit

PROFESSIONAL SCHOOLS ATTENDED

The form will suggest medical schools as you type. Please choose the matching school or enter the complete school name.

School Name:	Year of Graduation (Format YYYY)
1. UNIVERSITY OF ARIZONA COLLEGE OF MEDICINE	2005
2.	
3.	
4.	
5.	

If they did not attend school please insert "none" for the name and a year 15 years past the date of birth

OCCUPATION AND STATE LICENSURE INFORMATION

(Provide at least one license. Check 'No License' if the subject does not have a State License Number. Use the **Add Additional License/Occupation** button to provide more than one license. Up to 60 licenses may be provided.)

1. State License Number: OR No License

State of Licensure:

Occupation/Field of Licensure:

Description (complete only if 'Other' is selected above):



National Practitioner Data Bank
Healthcare Integrity and Protection Data Bank
P.O. Box 10832
Chantilly, VA 20153-0832
<http://www.npdb-hipdb.hrsa.gov>

The report has been submitted

TEMPORARY RECORD OF SUBMISSION

ADVERSE ACTION REPORT

STATE LICENSURE ACTION

Report Number: 7910000060344404

Subject Name: FRED, JONES

This information you entered has been transmitted to the NPDB and/or the HIPDB for processing based on the action reported or querying authority of your entity as specified when registering with the Data Bank(s). You have not met your obligation under applicable law until this information is received, processed, and accepted by the Data Bank(s) and an official response is returned. Your official response may be retrieved (i.e., downloaded) from <http://www.npdb-hipdb.hrsa.gov> approximately two to four hours after submission (some transactions may take longer).

When the official response is retrieved, please destroy this Temporary Record of Submission and replace it with the official response.

Report Type:

INITIAL



A. REPORTING ENTITY

Entity Name: TEST ENTITY
Address: 4350 FAIR LAKES COURT
SUITE 100
City, State, ZIP: FAIRFAX, VA 22033-4435
Country:

**Entity Internal Report Reference (e.g.,
claim number):**

Authorized Submitter's Name: JOE SMITH
Authorized Submitter's Title: CERTIFICATION SPECIALIST
Authorized Submitter's Telephone: (111) 222-3333
Certification Date: 02/23/2010

B. SUBJECT IDENTIFICATION INFORMATION (INDIVIDUAL)

Subject Name: FRED, JONES
Other Name(s) Used:
Gender: MALE

All of the report information is shown on the Temporary Record



Date of Birth:	05/05/1970
Organization Name:	MAIN ST HOSPITAL
Work Address:	1234 MAIN ST
City, State, ZIP:	WASHINGTON, DC 20002
Country:	
Organization Type:	GENERAL/ACUTE CARE HOSPITAL (301)
Other, as Specified:	
Home Address:	
City, State, ZIP:	
Country:	
Deceased:	NO
Date of Death:	
Social Security Numbers (SSN):	***-**-1111
Individual Taxpayer Identification Numbers (ITIN):	
Federal Employer Identification Numbers (FEIN):	
National Provider Identifiers (NPI):	



**Drug Enforcement Administration
(DEA) Numbers:**

**Unique Physician Identification
Numbers (UPIN):**

**Professional School(s) & Year(s) of
Graduation:**

UNIVERSITY OF ARIZONA COLLEGE OF MEDICINE (2005)

Occupation/Field of Licensure (Code): PHYSICIAN (MD) (010)

**State License Number, State of
Licensure:**

123456789A, DC

Other, as Specified:

Specialty:

ANATOMIC/CLINICAL PATHOLOGY (73)

**AFFILIATES OR ASSOCIATES OF
SUBJECT**

Name:

Address:

City, State, ZIP:

Country:

**Nature of Subject's Relationship to
Affiliate:**

Other Description:

C. INFORMATION REPORTED



C. INFORMATION REPORTED

Type of Adverse Action:	STATE LICENSURE
Basis for Action or Finding:	DRUG SCREENING VIOLATION (35)
Other, as Specified:	
Name of Agency or Program That Took the Adverse Action Specified in This Report:	SUSPENSION AGENCY
Adverse Action Classification Code:	REVOCAION OF LICENSE (1110)
Other, as Specified:	
Date Action Was Taken:	05/05/2007
Date Action Became Effective:	06/06/2007
Length of Action:	SPECIFIC PERIOD
Years:	1
Months:	
Days:	
Total Amount of Monetary Penalty, Assessment and/or Restitution:	\$ 1,000.00
Is Subject Automatically Reinstated After Adverse Action Period Is Completed?:	YES
Description of Subject's Act(s) or Omission(s) or Other Reasons for Action(s) Taken and Description of Action(s) Taken by Reporting Entity:	THIS SUBJECT SHOULD BE ON PROBATION.

QUERYING & REPORTING SERVICE

National Practitioner Data Bank
Healthcare Integrity and Protection Data Bank

Entity: TEST ENTITY (FAIRFAX, VA)

Query Options

Help ?

Query

Investigative Search

View Query Response

View Historical Queries

Report Options

Report

View Report Output

1 Unviewed Report Responses Available

View Historical Reports

Check back in a few hours for the official copy of the report

Subject Database Management

Maintain Subject Database

Return to Options

Log Out

REPORT STATUS

National Practitioner Data Bank
Healthcare Integrity and Protection Data Bank

Select a subject name to obtain reports or, if rejected, the reason for rejection. Those items marked as Pending have not yet been processed.

[Help ?](#)

Reports will be available electronically within an average of two to four hours of receipt by the Data Bank(s). Under certain circumstances, additional processing may be required. Please do not re-submit your report on the subject in question, since this will result in duplicate transactions. If you do not receive your response within two business days of submission, please call the NPDB-HIPDB Customer Service Center. Note: If a blank window appears when a link is selected, click Refresh or Reload in your browser. If this does not correct the problem, click the Help button above.

DCN	Subject Name	Date Submitted	Report Type	Action	Status	Date Viewed	Available Un
7910000060344404	FRED, JONES	02/23/2010	Initial	STATE LICENSURE	Completed	Not Viewed	04/09/2010

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**National Practitioner Data Bank
Healthcare Integrity and Protection
Data Bank**
P.O. Box 10832
Chantilly, VA 20153-0832

<http://www.npdb-hipdb.hrsa.gov>

DCN: 7910000060344404

Process Date: 02/23/2010

Page: 1 of 1

For authorized use by:

TEST ENTITY

SENSITIVE INFORMATION ENCLOSED

To: TEST ENTITY
TEST ENTITY
123 MAIN ST
SUITE 100
WASHINGTON, DC 20002

From: The National Practitioner Data Bank and The Healthcare Integrity and Protection Data Bank

Re: Report Verification

Enclosed is a copy of a report that you recently submitted to the National Practitioner Data Bank (NPDB) and the Healthcare Integrity and Protection Data Bank (HIPDB). Based on the information in your submission, this report will be maintained under the provisions of Title IV of Public Law 99-660, as amended, Section 1921 of the Social Security Act, and Section 1128E of the Social Security Act.

Entities and individuals who submit information to the NPDB-HIPDB are legally responsible for the accuracy of such information. To ensure that the information you submitted is accurate and complete, you should review the content of the enclosed report. Submit any corrections to the NPDB-HIPDB and, if required, to the appropriate State licensing board as quickly as possible to preclude the possible legal consequences resulting from the disclosure of inaccurate information. If, on review of the enclosed report, you conclude that the report was submitted erroneously (e.g., identifies the wrong subject, reported an incident which is not reportable to the NPDB-HIPDB), you must "VOID" the report immediately.

This is a view of
the PDF
confirmation of a
report



Summary Data



NPDB: Number of Reports By Practitioner Type

Physician	354445
Dental Service Practitioner	60324
Nurse Aide, Home Health Aide And Other Aide	20602
Chiropractor	8982
Nurse - Advanced, Registered, Vocational or Practical	8948
Podiatric Service Practitioner	8355
Pharmacy Service Practitioner	3523
Physician Assistant	1832
Psychologist/Psychological Assistant	1750
Rehabilitative, Respiratory and Restorative Service Practitioner	1362
Eye and Vision Service Practitioner	947

(continued)



NPDB: Number of Reports By Practitioner Type (cont'd)

Counselor	901
Other Health Care Practitioner	725
Emergency Medical Technician (EMT)	326
Technologist/Technician	240
Social Worker	234
Unspecified or Unknown Individual	117
Speech, Language and Hearing Service Practitioner	63
Dietician/Nutritionist	24
Other Occupation	1
Total	473701

2/23/10



HIPDB: Number of Reports By Practitioner Type

Nurse - Advanced, Registered, Vocational or Practical	201899
Physician	61013
Nurse Aide, Home Health Aide And Other Aide	43491
Pharmacy Service Practitioner	20165
Other Occupation	19173
Dental Service Practitioner	18577
Chiropractor	10560
Rehabilitative, Respiratory and Restorative Service Practitioner	10306
Counselor	5876
Social Worker	4096
Psychologist/Psychological Assistant	3284

(continued)



HIPDB: Number of Reports By Practitioner Type (cont'd)

Other Health Care Practitioner	3218
Emergency Medical Technician (EMT)	2584
Podiatric Service Practitioner	2502
Physician Assistant	2275
Health Care Facility Administrator	2195
Eye and Vision Service Practitioner	1922
Technologist/Technician	1102
Speech, Language and Hearing Service Practitioner	840
Dietician/Nutritionist	99
Total	415177

2/23/10



Additional Resources

- **Web Site - www.npdb-hipdb.hrsa.gov**
 - NPDB and HIPDB Guidebooks
 - Interactive Training
 - FAQs, Brochures, Data Bank Comparison Charts and Fact Sheets
 - Statistics
 - Annual Reports
 - Instructions for Reporting and Querying
- **Customer Service Center - 1-800-767-6732**